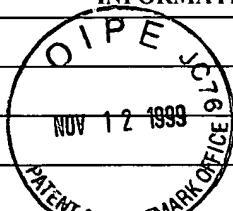


2

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 1004-3631
RECEIVED		Application No.: 09/368,507
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s): David L. Wood et al.
(Use several sheets if necessary)		NOV 15 1999
NOV 12 1999		Filing Date: August 5, 1999
GROUP 2700		Group Art Unit: 2766
		Date Submitted: November 10, 1999



U.S. Patent Documents

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

Foreign Patent Documents

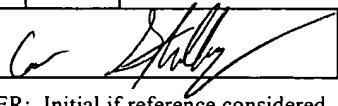
						Translation		
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	AR	"X/Open Single Sign-On Service (XSSO) - Pluggable Authentication Modules," <i>Open Group Preliminary Specification</i> , P702 ISBN 1-85912-144-6, June 1997, 140 pages.
CS	AS	"Kerberos: An Authentication Service for Computer Networks," USC/ISI Technical Report number ISI/RS-94-399, 1994, <i>IEEE Communications Magazine</i> , Vol. 32, No. 9, pages 33-38, September 1994, http://nii.isi.edu/publications/kerberos-neuman-tso.html , 12 pages.
CS	AT	"A White Paper on Authentication and Access Management Issues in Cross-organizational Use of Networked Information Resources," Clifford Lynch, editor, <i>Coalition for Networked Information</i> , April 14, 1998, http://www.cni.org/projects/authentication/authentication-wp.html , 28 pages.

Examiner <i>CS Shultz</i>	Date Considered 3/11/2003
---------------------------	---------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 1004-3631			
RECEIVED				Application No.: 09/368,507			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant(s): David L. Wood et al.			
NOV 12 1999				NOV 15 1999 Filing Date: August 5, 1999			
				GROUP 2700 Group Art Unit: 2766			
				Date Submitted: November 10, 1999			
U.S. Patent Documents							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
AA							
AB							
AC							
AD							
AE							
AF							
AG							
AH							
AI							
AJ							
AK							
Foreign Patent Documents							
						Translation	
AL	Document	Date	Country	Class	Subclass	Yes	No
AM							
AN							
AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
CS	AR	"AutoSecure SSO, The single-point information security solution for heterogeneous environments," <i>PLATINUM Technology Brochure</i> , downloaded May 3, 1999, http://www.platinum.com/products/brochure/sm/autosso/ssobroch.htm , 5 pages.					
CS	AS	D. Davis and R. Swick, "Workstation Services and Kerberos Authentication at Project Athena," <i>MIT Laboratory for Computer Science Technical Memorandum</i> #424, March 17, 1989, 12 pages.					
CS	AT	Don Davis, "Kerberos Plus RSA for World Wide Web Security," <i>Proc. 1st USENIX Workshop on Electronic Commerce</i> , NYC, August 3, 1995, 4 pages.					
Examiner			Date Considered	3/11/2003			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.							

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 1004-3631
RECEIVED		Application No.: 09/368,507
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant(s): David L. Wood et al.
NOV 12 1999 O P E R A T I O N S P A T E N T & T R A D E M A R K O F F I C E S U. S. P A T E N T & T R A D E M A R K O F F I C E S		NOV 15 1999 Filing Date: August 5, 1999
		GROUP 2700 Group Art Unit: 2766
		Date Submitted: November 10, 1999

U.S. Patent Documents

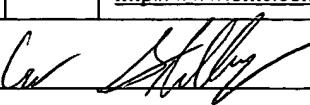
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	AR	D. Geer and D. Davis, "Token-Mediated Certification and Electronic Commerce," <i>Proc. 2nd USENIX Workshop on Electronic Commerce</i> , Oakland, CA, November 1996, 11 pages.
CS	AS	"Enterprise Single Sign-On, Definitions and Approaches," <i>PLATINUM Technology White Paper</i> , downloaded May 3, 1999, http://www.platinum.com/products/wp/wp_sso.htm , 7 pages.
CS	AT	"How Does PATROL CGI Server Work?" BMC Software, Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/how_itworks.html , 3 pages.

Examiner  Date Considered

3/11/2003

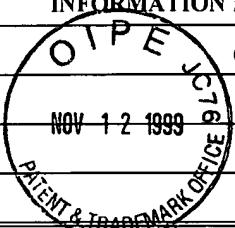
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office				RECEIVED Attorney Docket No.: 1004-3631				
				NOV 15 1999 Application No.: 09/368,507				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant(s): David L. Wood et al.				
				GROUP 2700 Filing Date: August 5, 1999				
				Group Art Unit: 2766				
NOV 12 1999				Date Submitted: November 10, 1999				
U.S. Patent Documents								
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
AA								
AB								
AC								
AD								
AE								
AF								
AG								
AH								
AI								
AJ								
AK								
Foreign Patent Documents								
						Translation		
	Document	Date	Country	Class	Subclass	Yes	No	
AL								
AM								
AN								
AP								
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
CS	AR	"How to Develop a Network Security Policy, An Overview of Internetworking Site Security," <i>Sun Microsystems White Paper</i> , Palo Alto, CA, downloaded April 20, 1999, http://www.sun.com/security/sec.policy.wp.html , 23 pages.						
CS	AS	"Kerberos and 3rd Party Authentication," Version 2.1 - March 26, 1994, <i>Security Dynamics White Paper</i> , Bedford, MA, http://www.securitydynamics.com/products/whitepapers/kerberos.html , 8 pages.						
CS	AT	Mastering Security on the Internet for Competitive Advantage, Sun Microsystems, Palo Alto, CA, August 1997, 47 pages.						
Examiner	<i>Ca</i>		Date Considered 3/11/2003					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

RECEIVED

Sheet 5 of 6

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 1004-3631
		NOV 15 1999
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application No.: 09/368,507
		Applicant(s): David L. Wood et al.
(Use several sheets if necessary)		Filing Date: August 5, 1999
		Group Art Unit: 2766
		Date Submitted: November 10, 1999
U.S. Patent Documents		



NOV 12 1999

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

Foreign Patent Documents

						Translation		
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	AR	Ari Medvinsky et al., "Public Key Utilizing Tickets for Application Servers (PKTAPP)," <i>Cybersafe Corporation INTERNET-DRAFT</i> , Common Authentication Technology Working Group, Issaquah, WA, March 1998, http://www.ietf.org/internet-drafts/draft-ietf-cat-pktapp-01.txt , 6 pages.
CS	AS	"Optimizing Internet/Intranet Services with PATROL CGI Server," <i>BMC Software White Paper</i> , Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/cgawp.html , 15 pages.
CS	AT	"PLATINUM AutoSecure Single Sign On (AutoSecure SSO)," <i>PLATINUM Technology Fact Sheet</i> , downloaded May 3, 1999, http://www.platinum.com/products/factsht/assso_fs.htm , 3 pages.

Examiner		Date Considered	3/11/2003
----------	---	-----------------	-----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

RECEIVED

Sheet 6 of 6

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 1004-3631				
		NOV 15 1999				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application No.: 09/368,507				
(Use several sheets if necessary)		GROUP 2700 Applicant(s): David L. Wood et al.				
NOV 12 1999		Filing Date: August 5, 1999				
		Group Art Unit: 2766				
		Date Submitted: November 10, 1999				
U.S. Patent Documents						
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
Foreign Patent Documents					Translation	
Document	Date	Country				
AL						
AM						
AN						
AP						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
CS	AR	"Products Application Server," <i>Art Technology Group Product Overview Developer Workbench</i> , downloaded April 21, 1999, http://www.atg.com/products/das/das_main.html?didframe=true , 4 pages.				
CS	AS	"TrustBroker™ Security Server, The Only Multi-Mechanism Authentication Server," CyberSafe Corporation, Issaquah, WA, 1999, 2 pages.				
	AT					
Examiner	<i>Car Stally</i>	Date Considered	3/11/2003			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.						

DEC 19 2000

#5

U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 1004-3631				
				Application No.: 09/368,507				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s): David L. Wood et al.				
(Use several sheets if necessary)				Filing Date: August 5, 1999				
				Group Art Unit: 2184				
				Date Submitted: December 12, 2000				
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
CS	AA	5,875,296	Feb. 23, 1999	Shi et al.	395	188.01	RECEIVED Technology Center 2184 Dec 22 2000	
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
CS	AL	96/42041	27 Dec. 1996	WO				
CS	AM	98/25373	11 Jun. 1998	WO				
CS	AN	98/57247	17 Dec. 1998	WO				
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AR							
	AS							
	AT							
Examiner	<i>W. Stahr</i>	Date Considered 3/11/2003						

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

6

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 004-3631
		Application No.: 09/368,507
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s): David L. Wood et al.
(Use several sheets if necessary)		Filing Date: August 5, 1999
		Group Art Unit: 2184
		Date Submitted: March 8, 2001

U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
CS	AA	5,826,014	Oct. 20, 1998	Coley et al.	395	187.01	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						



RECEIVED
MAY 14 2001
T-2106 MAIL ROOM
3/11/03

Foreign Patent Documents

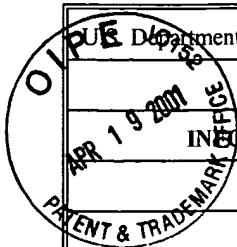
		Document	Date	Country	Class	Subclass	Translation
	AL						Yes
	AM						No
	AN						
	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	AR	Hubbard, S. D. et al., "Firewalling the Net" BT Technology Journal, BT Laboratories, GB, vol. 15, no. 2, April 1997, XP000703560, ISSN: 1358-3948, pages 94-106.
CS	AS	Doty, Ted, "A Firewall Overview", ConneXions, vol. 9, no. 7, 1 July 1995, XP000564023, ISSN: 0894-5926, pages 20-23.
	AT	

Examiner *CS* *Shelly* Date Considered 3/11/2003

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.



Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 004-3631
		Application No.: 09/368,507
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s): David L. Wood et al.
(Use several sheets if necessary)		Filing Date: August 5, 1999
		Group Art Unit: 2184
		Date Submitted: April 17, 2001

U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

RECEIVED

APR 23 2001

Technology Center 210c

Foreign Patent Documents

		Document	Date	Country	Class	Subclass	Translation
CS	AL	0 465 016 A	Jan. 8, 1992	EP			
CS	AM	0 849 680 A	June 24, 1998	EP			
	AN						
	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	AR	W. Stallings, "Authentication Applications", <i>Cryptography and Network Security</i> , Chapter 11, pp. 323-340, 1998 Prentice-Hall, US XP002161792
CS	AS	R. Ganesan, "Yaksha: Augmenting Kerberos with Public Key Cryptography", <i>Proceedings of the Symposium on Network and Distributed System Security</i> , pp. 132-143, Feb. 16, 1995, IEEE, XP002148903
CS	AT	W. Stallings, "IPV6: The New Internet Protocol", <i>IEEE Communications Magazine</i> , IEEE Service Center, Piscataway, N.J., US, vol. 34, no. 7, July 1, 1996, pp. 96-108 XP000623747, ISSN: 0163-6804

Examiner

Date Considered

3/11/2003

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.